Data Ethics

Research Data Foundations Camp January 7, 2025

Presented by Katerina Allmendinger With contributions from and thanks to Nickoal Eichmann-Kalwara



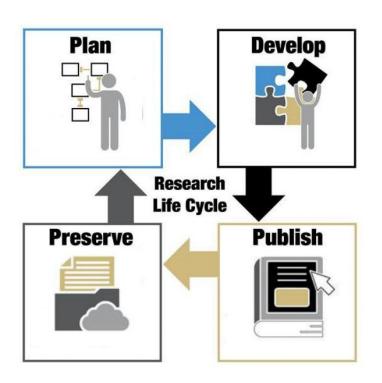
Today

- Contextualizing data ethics in our everyday work
- Grounding in real world examples
- Offering frameworks for guidance

What does data ethics mean to you? How do ethical questions show up in your work?

Data are constructed - they are the products of biased human choices and perspectives.

Thinking about Data Ethics through the Research Lifecycle



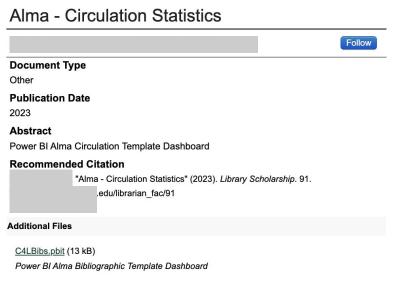
Data as Texts/Artifacts

- Data are not neutral or objective.
- Data do not represent a self-evident, discoverable Truth.

Rather,

- Data are constructed, the products of biased human choices and perspectives.
- The interpretation, use, and analysis of data, like any text, is shaped by our biases and perspectives.

Code4Lib Journal, December 2023





Bringing it All Together: Data from Everywhere to Build Dashboards

This article will talk about how University approached building a data dashboard bringing together various datasets, from MySQL, vendor emails, Alma Analytics and other sources. Using Power BI, Power Automate and a Microsoft gateway, we can see the power of easy access to data without knowing all of the disparate systems. We will discuss why we did it, some of the how we did it, and privacy concerns.

Open Letter to the Code4Lib Journal Editorial Board

We, the undersigned, **no longer have confidence in the** *Code4Lib Journal* **editorial board to protect library patron privacy and confidentiality**. We do not believe there is a way for the editorial board to regain our trust without **transparent actions** bringing considerably more **editorial attention to privacy, confidentiality, and research ethics** than has been apparent to date.

We, the undersigned, believe that **if patron privacy and confidentiality are not protected**, including from librarians and researchers, in library research and assessment practices that use patron data, such research and assessment practices **are unethical**, **must not be published**, and **must be retracted** where they have been published, including in *Code4Lib Journal*.



Dear all,

as one of the editors of Code4Lib Journal I would like beg your pardon for the security incident.

Since the journal is edited by a group of volunteers and we do not have any formal organizational structure, we as a journal do not have yet an common answer, but I can tell you my private opinion. Right now we are considering the suggestions of the open letter. Some of them could be implemented and there is a high chance that will be implemented. In this particular case we made a couple of editorial, communication related and technical mistakes, but we are aware of the importance of the problem, and I personally disagree that the data breach happened because we did not take care of the ethical concern. In this case - and again speaking only from my part - I did not have the necessary knowledge to check the content of files in a particular (Power BI) format, and thus I was not aware of the real content of that files (the article itself doesn't tell details about the content of the attached file).

It is sure we are taking care of this issue and the open letter, and we act accordingly. I hope that in the following days we will also have a better statement than mine, that will reflect the opinion of all editors.

I beg your pardon again,

Principles and Frameworks

Federal Government Data Ethics Framework

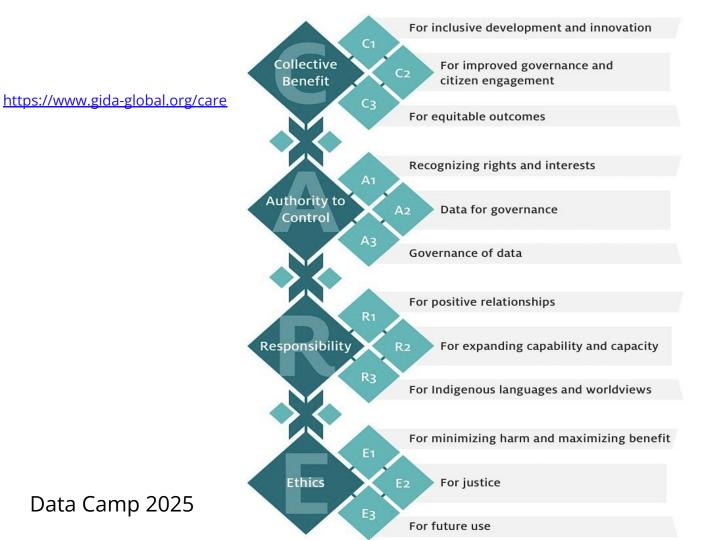
- Uphold applicable statutes, regulations, professional practices, and ethical standards
- Respect the public, individuals, and communities
- Respect privacy and confidentiality
- Act with honesty, integrity, and humility
- Hold oneself and others accountable
- Promote transparency



machine actionability

social actionability

https://www.go-fair.org/fair-principles/ https://www.gida-global.org/care



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CARE Data Principles

- From the perspective and ethical values of the involved community, what are the potential benefits and harms of collecting, using, or sharing these data now and in the future?
- Does the data play into harmful stereotypes and stigmas, or does it empower the community to assert their rights and interests?
- Are the provenances, purposes, and use limitations stated in the metadata or other documentation?
- Do metadata standards incorporate the (Indigenous) communities values and concepts?

Power

Privacy

Trust

Justice

Power

- Who is collecting and analyzing the data?
- What's counted, or not?
- How and when something is counted and why?
- Current social and political concerns as influencers?
- Right to be Forgotten (GDPR)

Privacy & Security

- Consent: Permission to use these data (ownership?)
- Anonymization: removing sensitive and identifiable information
- Confidentiality: Whose/what information is protected and for how long?

Trust

- Forms of Knowledges
- Accountability
- Documentation
- Reproducibility

Justice

- Social impact
- Missing and counter data
- Protection
- Co-liberation



Data Ethics for Artificial Intelligence (AI)

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So while AI is novel, it often seems to continue long-standing paradigms of technology in the service of capital ... scholarship suggests that the human harms documented in recent AI-driven initiatives are not merely "teething problems," but part of a broader paradigm of capitalist and colonialist values at the core of our current economic and technological systems. (Munn, 2023)

Al is not novel

So how can we recognize ethical questions around power, trust, privacy & security, and justice?

Privacy & Security in Al

- How is user input data treated? Is it stored?
 Anonymized? Shared with other companies?
- What are the safeguards against malicious attacks?
- Are these practices transparent and shared with users for informed consent?

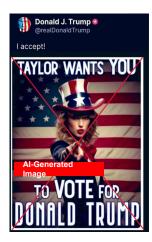


Image credit: Photo by Lianhao Qu on Unsplash

Trust and Justice in AI: Social Impacts

- Documentation and credit of Al tool use
- Reproducibility: changing models, barriers to access
- Misinformation



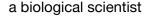


From left: 1) Al-generated image that falsely depicts Kamala Harris and Donald Trump smiling and high-fiving 2) Social media post from Donald Trump sharing an Al-generated image that falsely implies a political endorsement

Power, Justice, and Accountability in Al: Bias











a chief executive officer

Me: "What does an economics professor look like?"

ChatGPT: "An economics professor can vary in appearance like any other individual, as physical characteristics are unique to each person. However, there are certain generalizations one might make...may have a well-groomed, salt-and-pepper beard, symbolizing experience and maturity...they might wear tailored suits, dress shirts and formal trousers, particularly when giving lectures or attending conferences... on more casual days, they might opt for a smart-casual look, combining dress pants with a button-down shirt or a sweater...they may be observed carrying a leather briefcase or a satchel" (ChatGPT 2023a).

Image: Quote excerpt from Gross, N. (2023)

Image from Sun, L. et al. (2023)

Power, Justice, and Accountability in AI: Bias and Accountability

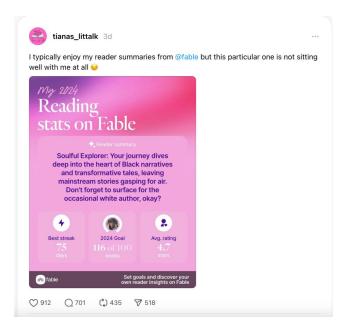


Image from Tiana Tremmel (@tianas littalk) Dec. 30, 2024



Image from Danny Groves (@dbgroves.bsky.social)

Dec. 31, 2024

Power, Justice, and Accountability in AI: Exploitation and Invisible Labor



"Dragon Cage" by Greg Rutkowski.



Al-generated art by Sandy60



Images created when Insider typed "Dragon battle with a man at night in the style of Greg Rutkowski" into Stable Diffusion. Stable Diffusion

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Our responsibilities in using Generative Artificial Intelligence

- Understanding impacts of AI tools: environmental, social
- Understanding scope: How was this tool created? How is it maintained? By whom?
- ... and what do we do if we cannot answer our questions?

How do we work towards Ethical AI?

- Frameworks must center Indigenous knowledges and experiences:
 - Indigenous Protocol and Artificial Intelligence Position Paper (Lewis et al., 2020)
 - The Five Tests of Māori scholar Sir Hirini Moko Mead (Munn, 2023)
- Be a continual learner have curiosity towards information you encounter (and generate)
- Is there ethical AI under capitalism?

Transformative and radical uses of AI

A note of hope ...

- Beth Coleman's Octavia Butler Al
- Aaron Tucker's work with GANs looking at identity
- Works of Indigenous scholars, including:
 - SkoBots, created to teach traditional languages by Danielle Boyer, Ojibwe (Inventor); Dr.
 Joshuaa Allison-Burbank, Diné & Acoma Pueblo (Speech-Language Pathologist, Mentor);
 Robert Maldonado
 - Scholarship of <u>Dr. Tiara Roxanne (Purépecha Mestiza)</u>
- Here at CU Boulder: <u>Generative AI and Transness at the Identity Lab</u>

Data are constructed - they are the products of biased human choices and perspectives.

Reflections

- What are examples of data ethical concerns that you encounter in your daily work, scholarship, and/or teaching?
- In what ways do you think *power* is reflected in your data?
- What data are you missing? Was it intentional? How might this missing data be beneficial?
- How might thinking about your data as a text/artifact change how you relate to, document, and share your work?

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Thank you for joining us for Data Ethics!

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